



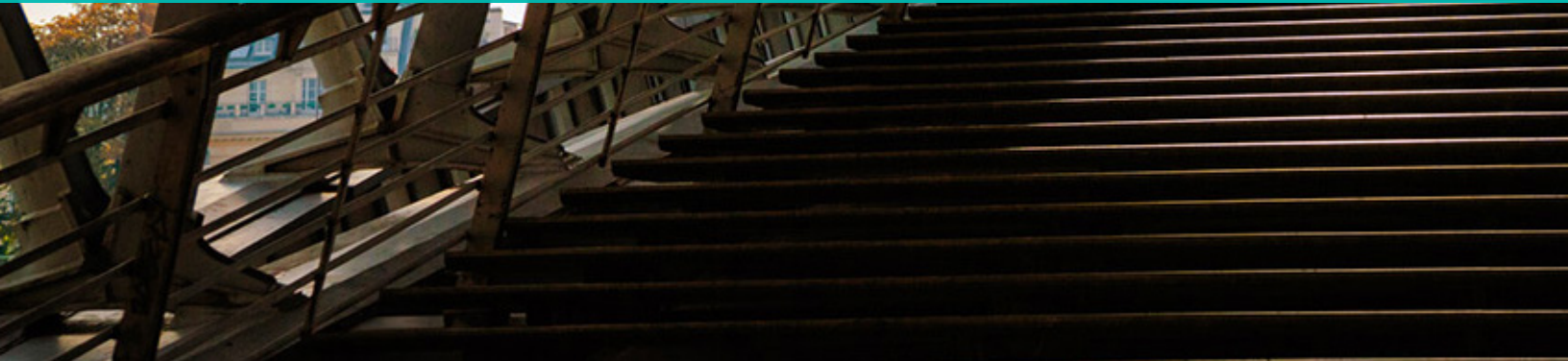
**Financial
institutions
industry solutions**



Now, for tomorrow

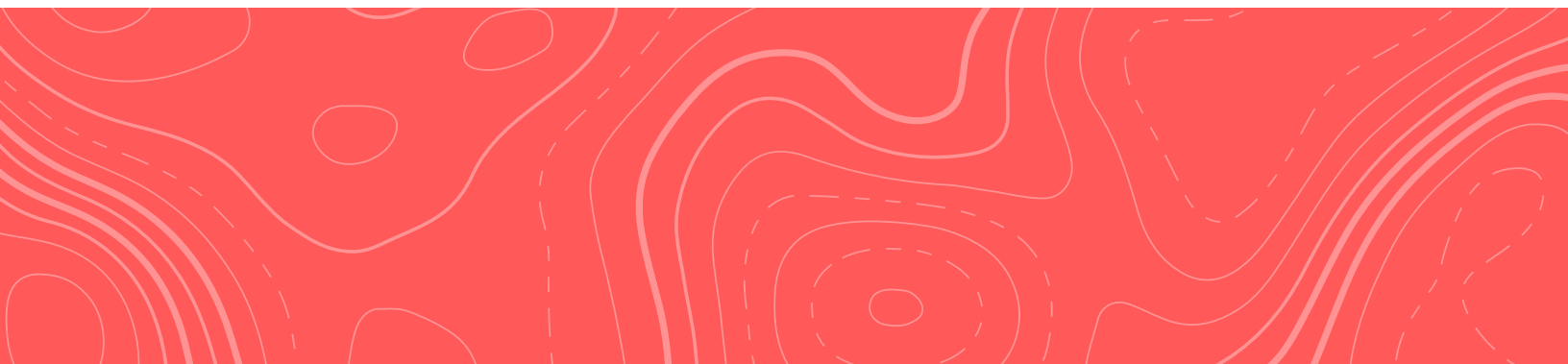
Baker Tilly is a leading advisory, tax and assurance firm whose specialized professionals guide clients through an ever-changing business world, helping them win now and anticipate tomorrow. With disruptions happening all around, standing still is not an option, which is why more than 1,000+ financial institution clients throughout the U.S. rely on Baker Tilly to meet their advisory, tax and assurance needs.

Our team of industry specialists understand your concerns and have a depth of experience helping solve the challenging regulatory environment facing the financial services industry, and our focused team strives to provide insight that can be utilized by management to make better-informed business decisions. We also make sure to dedicate the time to understanding the issues our clients face, so you receive solutions specifically tailored to meet your unique needs.



Great relationships

Our professionals are at the heart of what we do, taking the time to understand your business, while looking toward the future to have tomorrow's conversations, today. Our financial institutions practice leaders collaborate with banks, credit unions, consumer finance and other specialty/structured finance companies, on advisory, internal audit, regulatory compliance and assurance services.



Financial institutions industry solutions

The industry operates in a challenging environment — managing capital and liquidity, leveraging new technologies and the accelerating pace of mergers and acquisitions, improving processes and meeting significant regulatory requirements. Through our deep financial industry experience and extensive public accounting experience, you can be confident you will receive consistent value and insight throughout each engagement.

AUDIT AND RISK SERVICES

- External audit of public and non-public financial institutions
- Outsourced and co-sourced internal audit
- Enterprise risk management
- Credit risk management and loan review
- Third-party risk management
- Regulatory compliance
- Model validations
- Interest rate risk/liquidity validation
- CECL assessment and validation

TRANSACTION SERVICES

- Pre-transaction due diligence
- Buy-side and sell-side advisory
- Accounting assistance and debt restructuring
- Tangible and intangible asset/liability valuation

BUSINESS CONSULTING

- Transformation strategy
- Governance and execution
- Organizational change
- Growth and experience
- Systems evaluation and implementation
- CDFI program services

TAX ADVISORY

- Federal and multi-state tax planning
- Tax credits and incentives
- Accounting for income tax and effective tax rate consulting
- M&A/reorganization due diligence and planning
- Tax legislation consulting

TECHNOLOGY AND CYBERSECURITY

- Cybersecurity risk assessments
- Vulnerability and penetration testing
- Business continuity and disaster recovery
- Privacy compliance assessments (CCPA, GDPR)
- Information security and control assessments (FFIEC, NIST)
- System and Organization Controls (SOC 1, 2, 3)

DIGITAL OPTIMIZATION

- Data and analytics
- Intelligent automation and application development
- Cloud technologies and managed services
- AI governance and implementation
- Data governance

FINANCIAL CRIMES

- Anti-Money Laundering (AML)/Countering the Financing of Terrorism (CFT) compliance
- AML and sanctions model validation
- Design and implementation of AML/CFT and sanction risk assessment
- Independent testing
- Managed services
- Sanctions compliance
- Training
- Forensic and fraud reviews



We work with a lot of firms, and it's usually not this easy to get all the benefits we were promised. Your team has been most accommodating and efficient, while sharing a number of quality insights along the way.

— EXECUTIVE VICE PRESIDENT, FINANCIAL INSTITUTION CLIENT



6th

Largest accounting firm in the U.S.

2025 Inside Public Accounting (IPA) Top 100



11,000+

Professionals (including principals)

As of June 3, 2025



1,000+

Principals

As of June 3, 2025



1,000+

Financial institutions served



~3,400

Certified Public Accountants

As of June 3, 2025



\$3B+

Across advisory, tax and assurance

As of June 3, 2025



700+

Offices

As of June 3, 2025



250+

Workplace and culture awards

As of June 3, 2025

As of June 3, 2025, Baker Tilly and Moss Adams have merged. Combined statistics are based on data currently available. Actual counts may vary slightly and will be finalized during the integration process.

To learn more about the services we offer financial institutions, contact us at www.bakertilly.com/industries/financial-services/financial-institutions.



Baker Tilly is a leading advisory, tax and assurance firm, providing clients with a genuine coast-to-coast and global advantage in major regions of the U.S. and in many of the world's leading financial centers – New York, London, San Francisco, Seattle, Los Angeles, Chicago and Boston. Baker Tilly Advisory Group, LP and Baker Tilly US, LLP (Baker Tilly) provide professional services through an alternative practice structure in accordance with the AICPA Code of Professional Conduct and applicable laws, regulations and professional standards. Baker Tilly US, LLP is a licensed independent CPA firm that provides attest services to its clients. Baker Tilly Advisory Group, LP and its subsidiary entities provide tax and business advisory services to their clients. Baker Tilly Advisory Group, LP and its subsidiary entities are not licensed CPA firms.

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